



Docket No.: 1232-4655

*Sector \$*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kazumasa YOSHIKAWA and Satoshi NATSUME  
Serial No. : 09/696,855 Group Art Unit : 2614  
Filed : October 26, 2000  
For : OPTICAL APPARATUS, OPTICAL APPARATUS DRIVING UNIT  
AND CAMERA SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8((a))

Commissioner For Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

I hereby certify that the attached 1) Response to Notice to File Missing Parts;  
2) Copy of Form PTO 1533; 3) Executed Declaration/POA (6 pages); 4) Check in the  
amount of \$130.00; and 5) Return receipt postcard (along with any paper(s) referred to as  
being attached or enclosed) and this Certificate of Mailing are being deposited with the  
United States Postal Service on the date shown below with sufficient postage as first-class  
mail in an envelope addressed to the: Commissioner For Patents, Box Missing Parts,  
Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: February 27, 2001

By: *Vincent P. DiNapoli*

Vincent P. DiNapoli

CORRESPONDENCE ADDRESS:

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Docket: 1232-4655

PATENT

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Applicant(s) : Kazumasa Yoshikawa et al.  
Serial No. : 09/696,855 Group Art Unit : 2614  
Filed : October 26, 2000  
For : OPTICAL APPARATUS, OPTICAL APPARATUS DRIVING UNIT  
AND CAMERA SYSTEM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
**ATTENTION: BOX MISSING PARTS**

S I R :

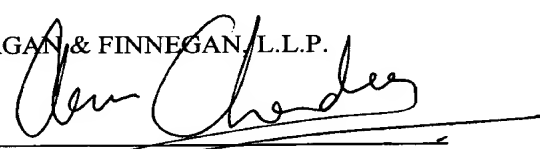
In response to the Notice to File Missing Parts (FORM PTO-1533) mailed January 3, 2001, applicants enclose herewith an executed Declaration/Power of Attorney for the above-identified application which was filed on October 26, 2000 without a Declaration, pursuant to 37 C.F.R. 1.63.

Also enclosed is FORM-PTO-1533 and a check in the amount of One Hundred and Thirty Dollars (\$130.00) to cover the surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 1232-4655. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:

  
Arun Chandra  
Registration No. 43,537

Date: February 27, 2001

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154-0053  
(212) 758-4800



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/696,855	10/26/2000	Kazumasa Yoshikawa	1232-4655

Mikhael M Murray  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154

## FORMALITIES LETTER



\*OC000000005651428\*

Date Mailed: 01/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

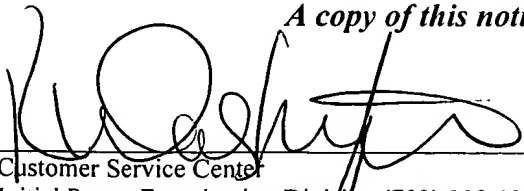
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2001 MBERHE 00000018 09696855

01 FC:105

130.00 OP



RECEIPT

PATENT  
Docket No.: 1232-4655

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Kazumasa YOSHIKAWA and Satoshi NATSUME  
Serial No. : 09/696,855 Group Art Unit : 2614  
Filed : October 26, 2000  
For : OPTICAL APPARATUS, OPTICAL APPARATUS DRIVING UNIT  
AND CAMERA SYSTEM

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Attn.: Office of Initial Patent Examination  
Customer Service Center

Sir:

I hereby certify that the attached 1) Request for Corrected Filing Receipt; 2) copy of Filing Receipt; and 3) return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Box Assignments, Washington, DC 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: February 27, 2001

By: Vincent DiNapoli  
Vincent DiNapoli

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

RECEIVED  
JUN 20 2001  
TC 2600 MAILROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kazumasa Yoshikawa et al.

Serial No. : 09/696,855

Group Art Unit: 2614

Filed : October 26, 2000

For : OPTICAL APPARATUS, OPTICAL APPARATUS DRIVING UNIT  
AND CAMERA SYSTEM

Commissioner for Patents  
Washington, D.C. 20231

Attn: Office of Initial Patent Examination  
Customer Service Center



RECEIVED  
JUN 20 2001  
TC 2600 MAILROOM

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

The enclosed Official Filing Receipt was recently received. The following correction is respectfully requested.

Under Applicant(s):

The inventor Kazumasa Yoshikawa resides in Utsunomiya-shi,  
Japan.

The letter "s" in the city name is missing on the filing receipt.

This information was correctly listed on the Utility Application Transmittal filed on October 26, 2000. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this request, or credit any overpayment to Deposit Account 13-4500, Order No. 1232-4655.

Respectfully submitted,

MORGAN & FINNEGAN

By: \_\_\_\_\_

Arun Chandra

Registration No. 43,537

Dated: February 27, 2001

Morgan & Finnegan L.L.P.  
345 Park Avenue  
New York, N.Y. 10154  
Telephone: 212-758-4800  
Telecopier: 212-751-6849



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/696,855	10/26/2000	2614	1562	1232-4655	29	54	6

Mikchael M Murray  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154



## FILING RECEIPT



\*OC000000005651427\*

Date Mailed: 01/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Kazumasa Yoshikawa, Utunomiya-shi, JAPAN;  
Satoshi Natsume, Sagamihara-shi, JAPAN;

### Continuing Data as Claimed by Applicant

### Foreign Applications

JAPAN 11-307143 10/28/1999  
JAPAN 11-307144 10/28/1999

If Required, Foreign Filing License Granted 01/03/2001

### Title

Optical apparatus, optical apparatus driving unit and camera system

### Preliminary Class

348

CASE 1232-4655 ATTY MM  
INFORMATION DISCLOSURE  
STATEMENT January 26, 2001  
FOREIGN FILING                       
CONVENTION DATE EXPIRES                     

RECEIVED  
JUN 20 2001  
2600 MAILROOM

Data entry by : WASHINGTON, KAREN

Team : OIPE

Date: 01/03/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**



**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231



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BA 7/3/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 2813

<b>SERIAL NUMBER</b> 09/696,855	<b>FILING DATE</b> 10/26/2000 <b>RULE</b>	<b>CLASS</b> 348	<b>GROUP ART UNIT</b> 2614 2612	<b>ATTORNEY DOCKET NO.</b> 1232-4655
<b>APPLICANTS</b> Kazumasa Yoshikawa, Utsunomiya-shi, JAPAN; Satoshi Natsume, Sagamihara-shi, JAPAN;				
<b>** CONTINUING DATA *****</b>				
<b>** FOREIGN APPLICATIONS *****</b> JAPAN 11-307143 10/28/1999 JAPAN 11-307144 10/28/1999				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/03/2001</b>				
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 29	<b>TOTAL CLAIMS</b> 54
Verified and Acknowledged Examiner's Signature _____ Initials _____		<b>INDEPENDENT CLAIMS</b> 6		
<b>ADDRESS</b> Mikchael M Murray Morgan & Finnegan LLP 345 Park Avenue New York ,NY 10154				
<b>TITLE</b> Optical apparatus, optical apparatus driving unit and camera system				
<b>FILING FEE RECEIVED</b> 1692	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	